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**Publizierende Stelle**  
IBKR Financial Services AG, Gotthardstrasse 3, 6300 Zug

## Zwischenbilanz IBKR Financial Services AG

IBKR Financial Services AG  
CHE-107.512.885  
Gotthardstrasse 3  
6300 Zug

**Bilanzdatum: 30.06.2021**  
Vorangehendes Halbjahr: 30.06.2020

**Aktiven: CHF 2'878'179'004.00**  
Aktiven vorangehendes Halbjahr: CHF 1'981'903'412.00

**Passiven: CHF 2'878'179'004.00**  
Passiven vorangehendes Halbjahr: CHF 1'981'903'412.00

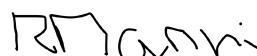
**Ausserbilanzgeschäfte: CHF 2'000.00**  
Ausserbilanzgeschäfte vorangehendes Halbjahr: CHF 2'000.00

**Gewinn: CHF 11'283'750.00**  
Verlust vorangehendes Halbjahr: CHF 483'952.00

**Rechtliche Hinweise:**  
Unaudited Statement of Financial Condition  
Statement prepared according to True and Fair View, chapter IV, FINMA circular 2020/1 -  
Accounting - banks

IBKR Financial Services AG  
Statement of Financial Condition  
Swiss Banking Regulatory Format  
As per June 30, 2021 and December 31, 2020  
(unaudited)  
(in CHF)

	30.06.2021 CHF	31.12.2020 CHF
<b>Assets</b>		
Liquid assets	6.231.083	9.497.057
Amounts due from banks	335.411.203	395.277.190
Amounts due from clients	8.814.502	10.636.468
Amounts due from securities financing transactions	1.964.773.612	1.923.042.829
Trading portfolio assets	524.997.433	414.617.583
Positive replacement values of derivative financial instruments	13.967.134	14.610.411
Accrued income and prepaid expenses	940.380	1.047.333
Participations	106.380	106.379
Tangible fixed assets	5.083.339	4.393.781
Other assets	17.853.938	12.497.634
<b>Total assets</b>	<b>2.878.179.004</b>	<b>2.785.726.665</b>
Total subordinated claims	0	0
- of which subject to mandatory conversion and/or debt waiver	0	0
<b>Liabilities</b>		
Amounts due to banks	223.943.560	140.276.284
Amounts due to clients	190.746	612.380
Liabilities from securities financing transactions	1.912.275.554	1.953.743.097
Trading portfolio liabilities	127.117.313	87.308.607
Negative replacement values of derivative financial instruments	20.059.710	23.723.107
Accrued expenses and deferred income	11.442.826	10.898.974
Other liabilities	3.778.243	1.076.914
Value adjustments and provisions	2.389.716	2.389.716
Reserves for general banking risks	5.423.929	5.423.929
Company's capital	4.736.246	4.736.246
Statutory retained earnings reserve	3.556.260	3.556.260
Profit carried forward	551.981.151	552.465.104
Profit/Loss	11.283.750	-483.953
<b>Total liabilities</b>	<b>2.878.179.004</b>	<b>2.785.726.665</b>
Total subordinated liabilities	0	0
- of which subject to mandatory conversion and/or debt waiver	0	0
<b>Off-balance-sheet transactions</b>		
Contribution Deposit Insurance	2.000	2.000

IBKR Financial Services AG  
Statement of Income  
Swiss Banking Regulatory Format  
For the Six Months ending June 30, 2021 and 2020  
(unaudited)  
(in CHF)

	For the period through June 30, 2021 CHF	For the period through June 30, 2020 CHF
<b>Income statement</b>		
Result from interest operations		
<i>Interest and discount income</i>	42.118.459	56.777.152
<i>Interest and dividend income from trading portfolios</i>	(255.980)	(154.668)
<i>Interest and dividend income from financial investments</i>		-
<i>Interest expense</i>	(35.996.031)	(44.753.648)
<i>Gross result from interest operations</i>	5.866.448	11.868.836
<i>Changes in value adjustments for default risks and losses resulting from interest operations</i>	-	-
Subtotal net result from interest operations	5.866.448	11.868.836
Result from commission business and services		
<i>Commission income from securities trading and investment activities</i>	5.730.794	12.267.371
<i>Commission income from other services</i>	18.314.556	31.742.934
<i>Commission expense</i>	-	-
Subtotal result from commission business and services	24.045.350	44.010.305
Result from trading activities and the fair value option	8.682.026	(45.372.311)
Other result from ordinary activities		
<i>Other ordinary income</i>	1	(2)
<i>Other ordinary expenses</i>	-	-
<i>Income from participations</i>	-	-
Subtotal other result from ordinary activities	1	(2)
Operating expenses		
Personnel expenses	(18.571.737)	(31.884.825)
General and administrative expenses	(6.620.271)	(7.872.241)
Subtotal operating expenses	(25.192.008)	(39.757.066)
Gross loss	13.401.817	(29.250.238)
Value adjustments on participations and depreciation and amortisation of tangible fixed assets and intangible assets	(429.560)	(321.522)
Changes to provisions and other value adjustments, and losses	-	(2.389.716)
<b>Operating result</b>	<b>12.972.257</b>	<b>(31.961.476)</b>
Extraordinary income	83.638	30.744.788
Extraordinary expenses	-	-
Changes in reserve for general banking risks	-	(1.121.216)
Taxes	(1.772.145)	1.853.952
<b>Profit/Loss</b>	<b>11.283.750</b>	<b>(483.952)</b>

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